

# The importance of stress percolation to ductile deformation and shear localization in rocks

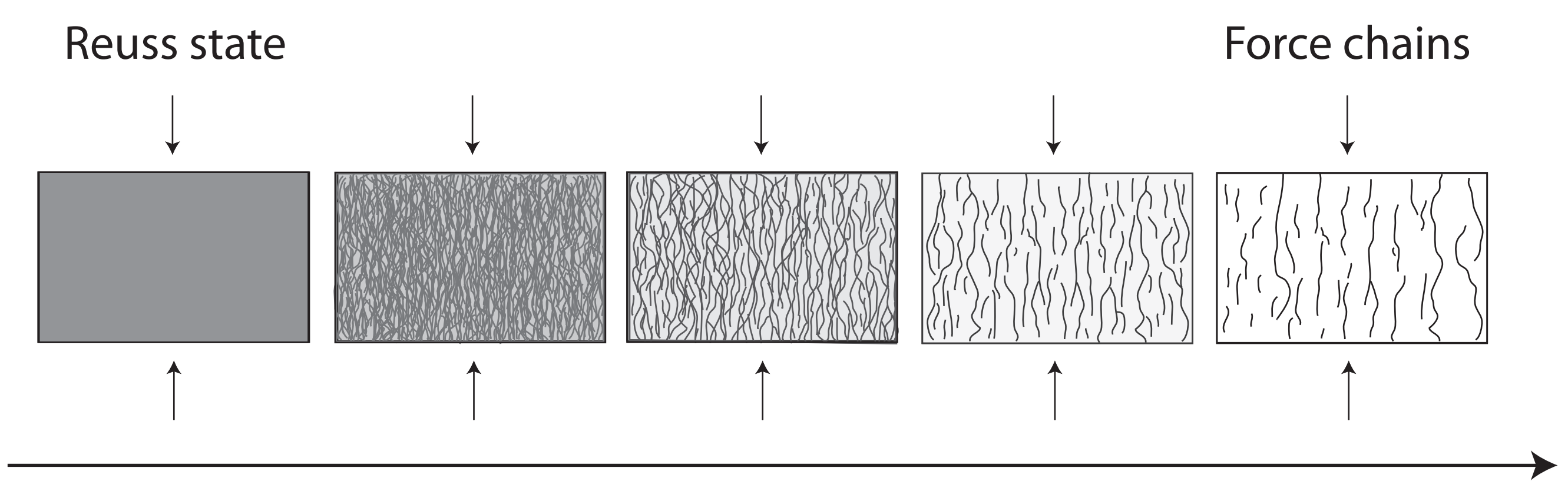
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## Abstract

Percolation theory has been used to describe the behavior of a large number of disordered systems including the passage of fluid through porous materials, the spread of forest fires, and the mechanical behavior of granular materials. By virtue of both variations in elastic and plastic properties between different rock forming minerals as well as the plastic and elastic anisotropy of individual mineral grains, polycrystalline aggregates of minerals are elastically and plastically disordered systems. Using 2D finite element models I have shown that stress transmission in rocks can also be described as a percolation problem and that the modulation of stress states within a rock can in some cases, reach levels comparable to the differential load on the rock. The presence of such modulations in the stress state of a rock has many implications for understanding the rock's physical and chemical responses to stress. Stress percolation has been shown to occur in granular materials (the phenomena is sometimes described as "force chains") and plays in important role in the development of shear localization in these materials. Although it is well known that mechanical heterogeneities can cause shear localization in viscous materials, the popular assumption of a Reuss stress state in polycrystalline rocks has made it difficult to explain the development of ductile shear localization in rocks that do not contain pre-existing weak features. The modulations in stress states created by stress percolation create small regions (yield nuclei) distributed throughout the rock that yield well before the bulk of the rock has reached the yield criterion. Local yielding leads to percolation of yielded regions and shear localization. Whether the shear localization remains cryptic or is observable by virtue of the development of large offsets, is a function of the density and distribution of yield nuclei. The spatial distribution of yield nuclei is a function of the nature of the stress percolation pattern as well as the degree of variation in yield strength of the constituent minerals and their distribution throughout the rock. Taking stress percolation into account helps explain why shear localization occurs during ductile deformation and predicts which rock types are more or less prone to develop large-scale shear localization.

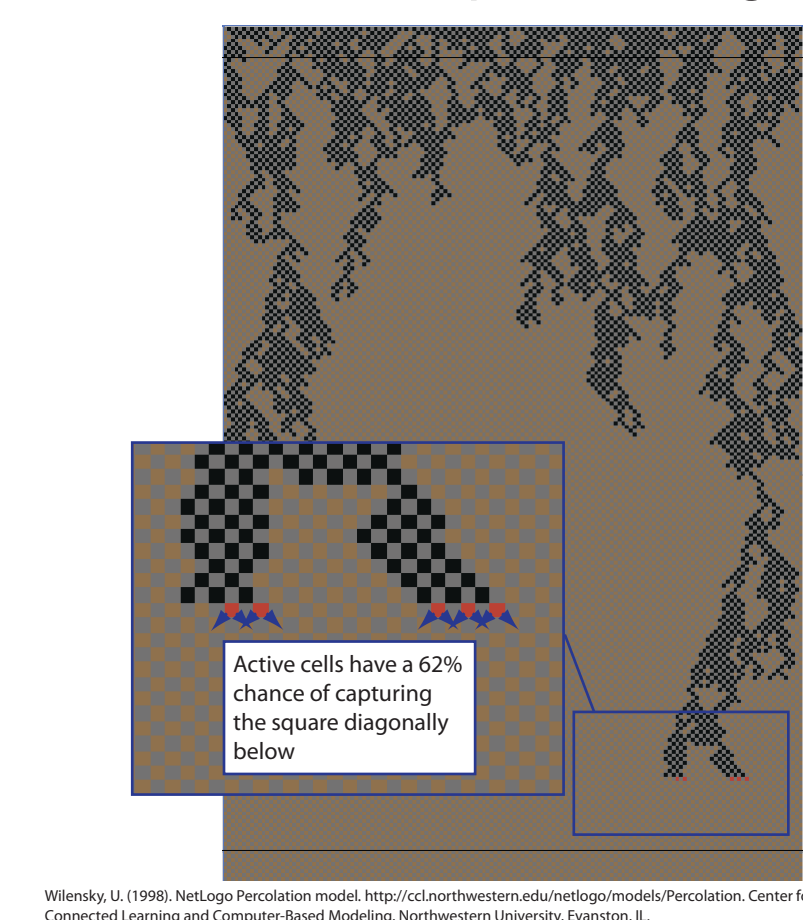
(more details can be found in Burnley (2013)  
DOI: 10.1038/ncomms3117)



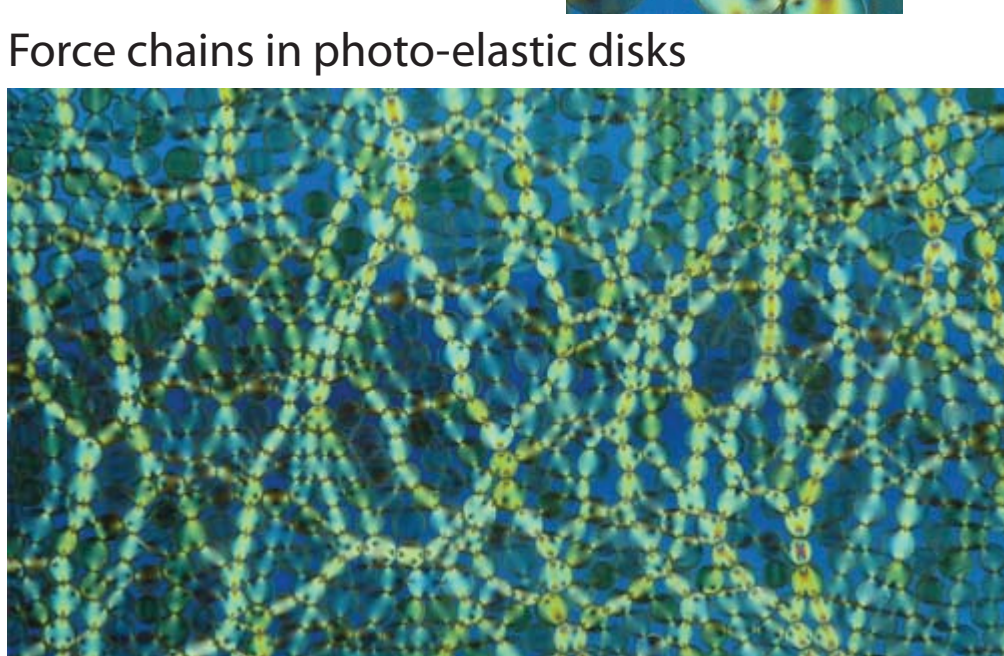
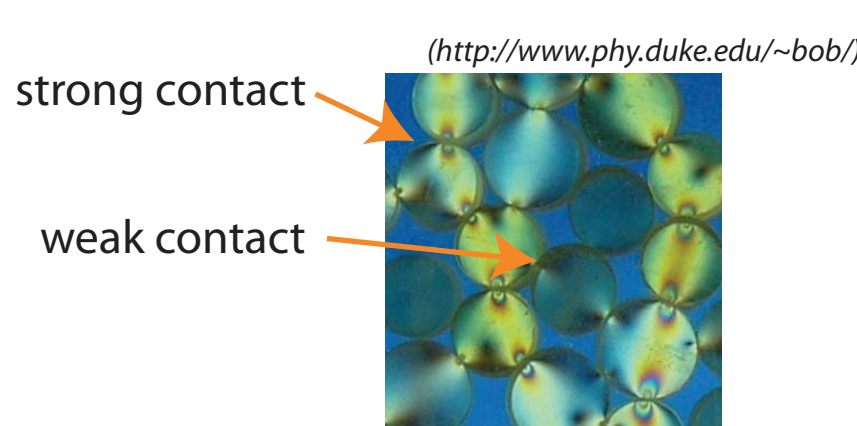
## Component Heterogeneity

## Percolation

A familiar example:  
simulation of oil percolating in sand

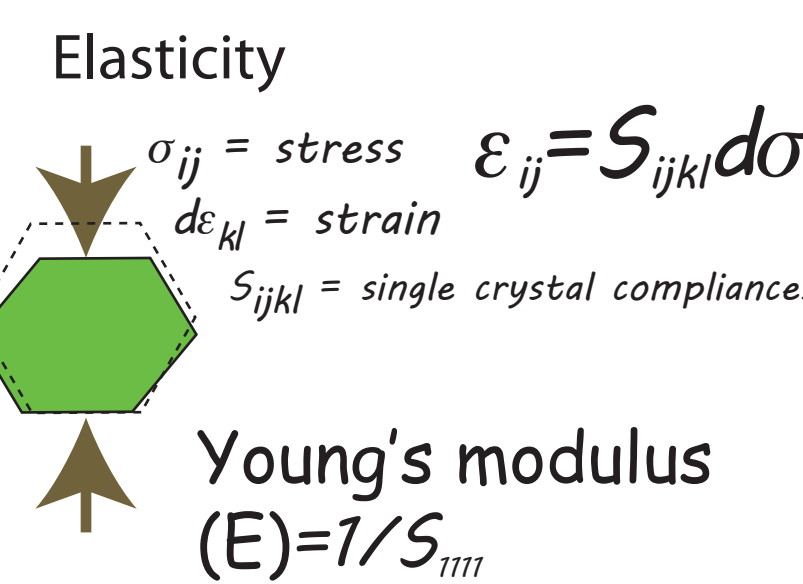


Stress percolation occurs  
in granular materials

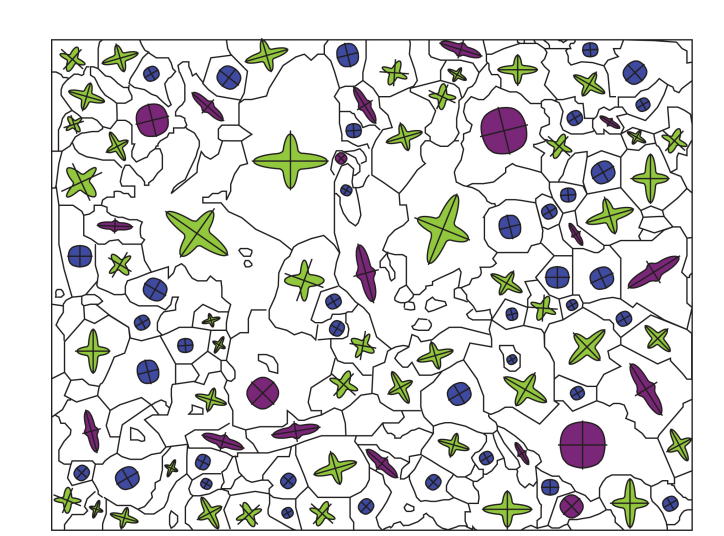


Percolation theory is used to describe the behavior of disordered systems

## Anisotropy of Single Crystal Elasticity



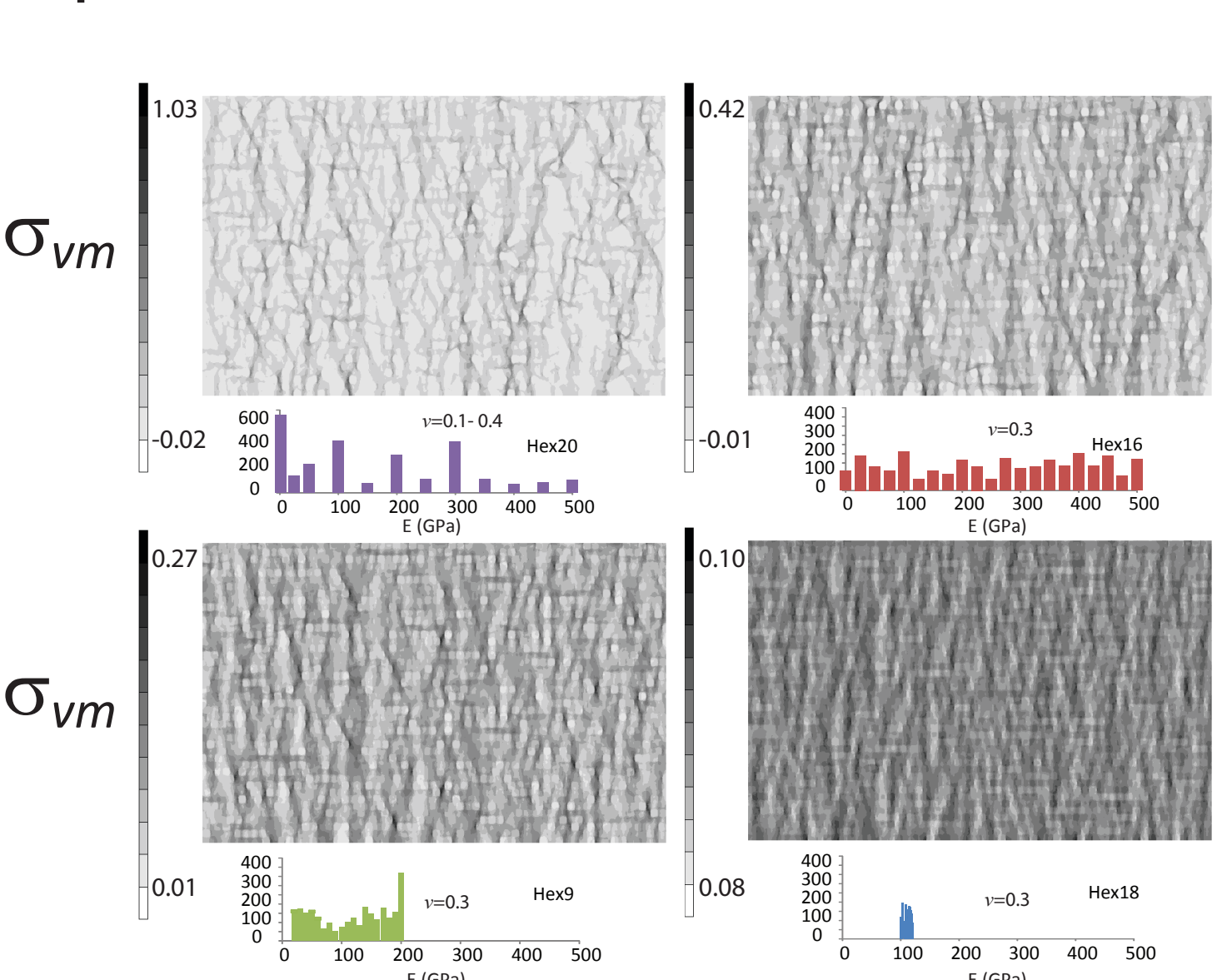
How does the deformation of each crystal add together to create the mechanical response of the aggregate?



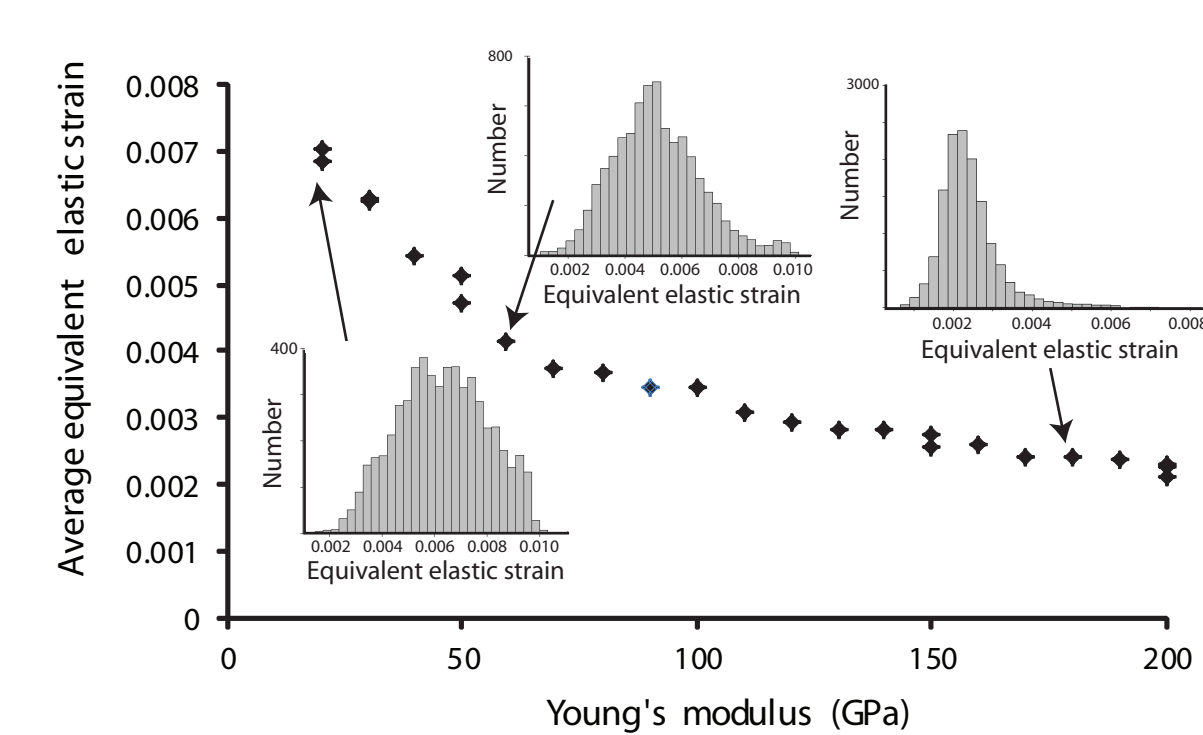
Polycrystals are elastically disordered systems.

## Stress Patterns

Equivalent von Mises stress

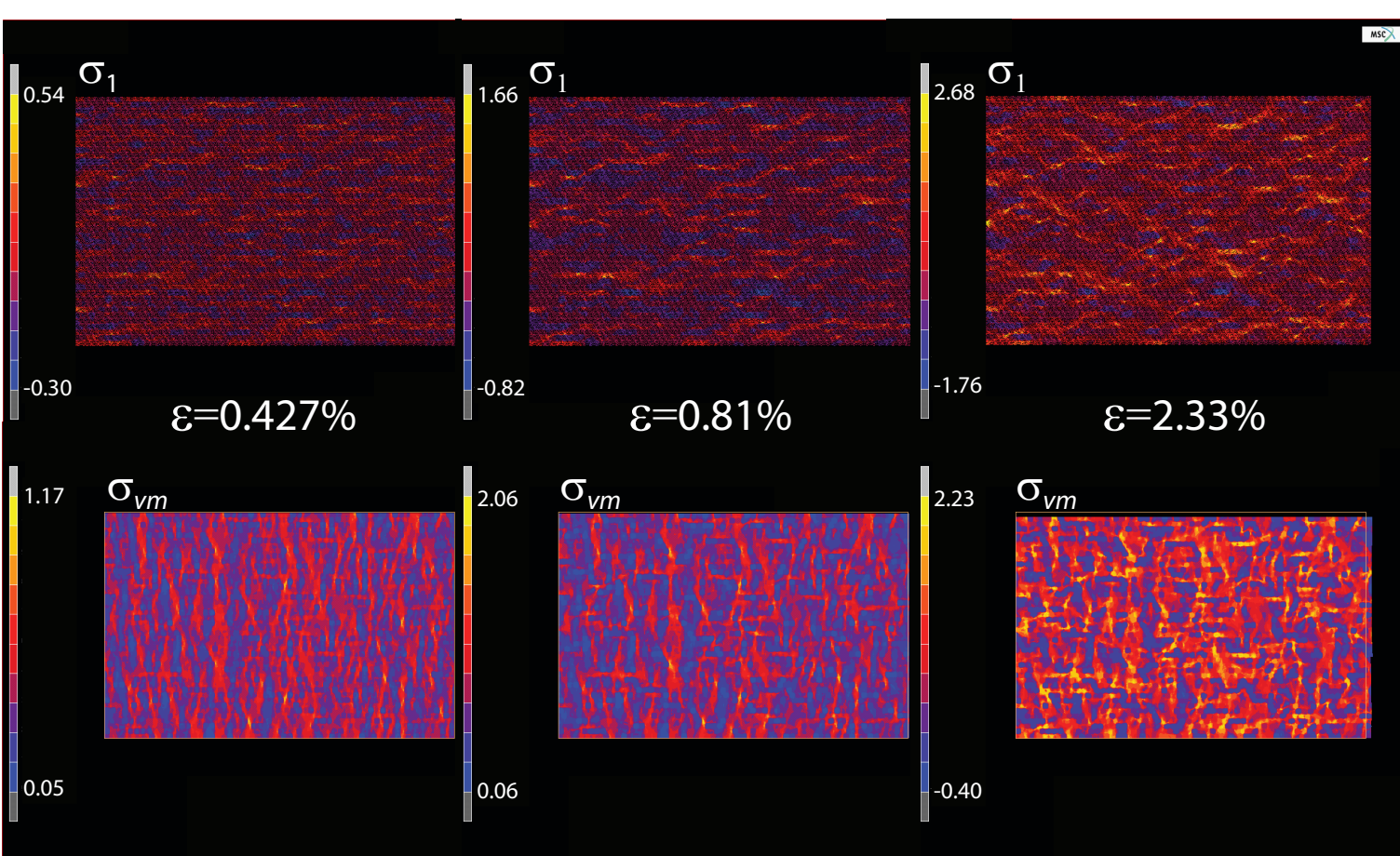


Equivalent von Mises stress patterns reflect patterns in the maximum and minimum compressive stress. All models were loaded with 0.1 GPa along the top edge. The variation in stress states is a function of the heterogeneity and distribution of elastic properties among the grains.

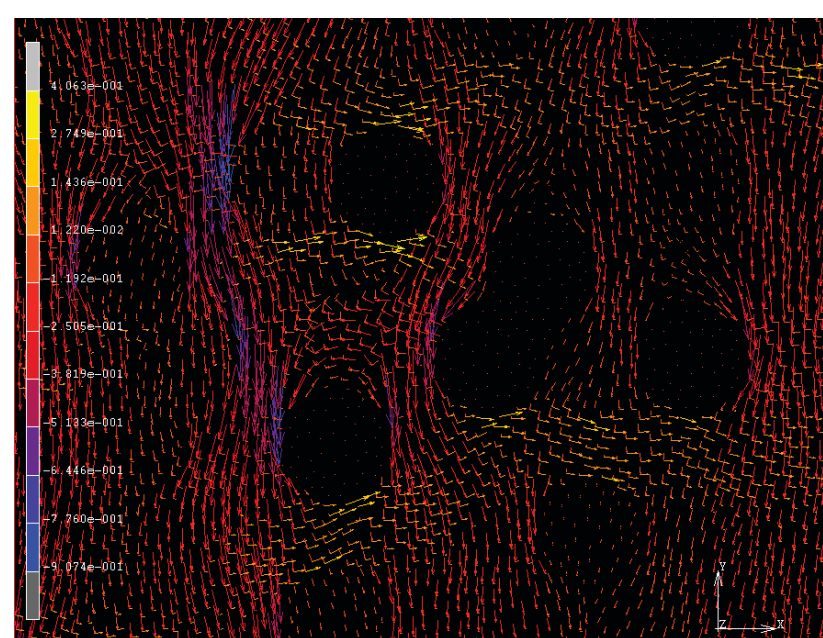


The average elastic strain is inversely proportional to the Young's modulus as is expected. However the distribution of elastic strain within a component population is different from component population to component population. More compliant components show a wider range of elastic states.

## Pattern changes accompanying yield



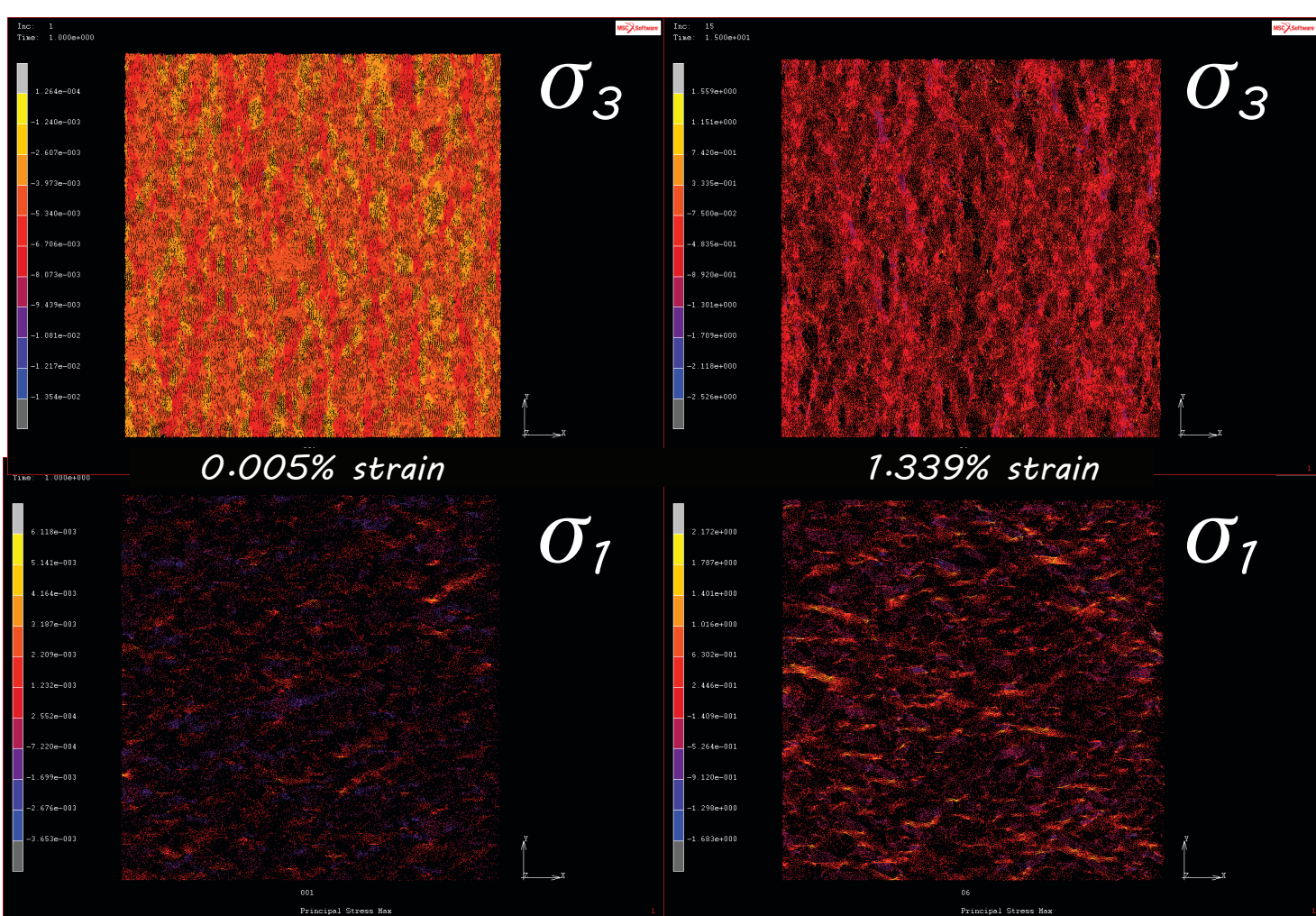
Patterns in  $\sigma_1$  and equivalent von Mises stress ( $\sigma_{vm}$ ) for model hex9 prior to (0.47% strain), during (0.81% strain) and after (2.33 % strain) yielding initiates. This model has no work hardening. The patterns in  $\sigma_1$  intensify as grains in the model begin to deform plastically which causes the patterns in  $\sigma_{vm}$  to become less coherent.



Orientation and magnitude of minimum ( $\sigma_3$ ) and maximum ( $\sigma_1$ ) components of the stress tensor in a portion of model Hex16. Compression (which is negative), is depicted in orange to blue; tensile stresses are yellow. The arrows point in the direction of the principal components of the tensor.

## Stress patterns in "Barre Granite" model

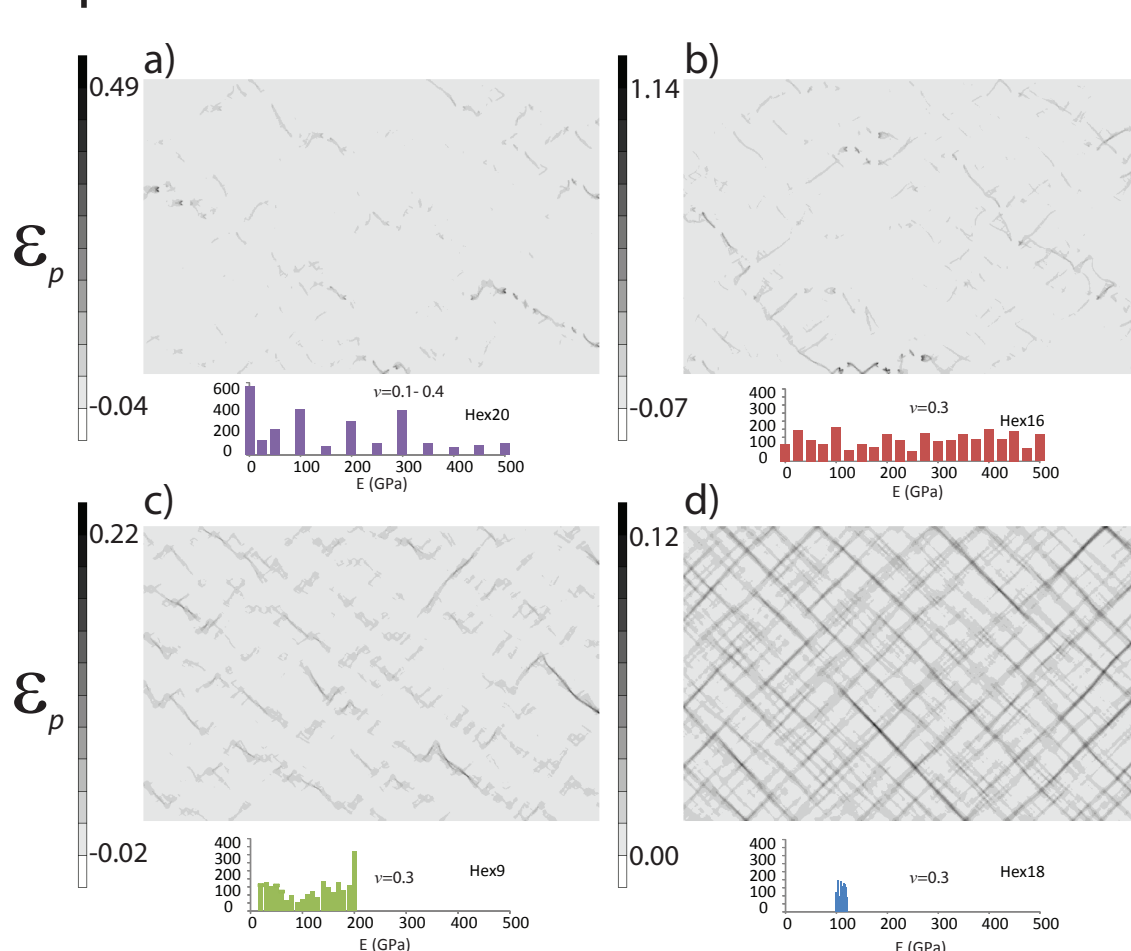
Despite the differences in grain shape and size, the Barre granite model still produces stress percolation patterns. The patterns change as portions of the model begin to yield at higher strain



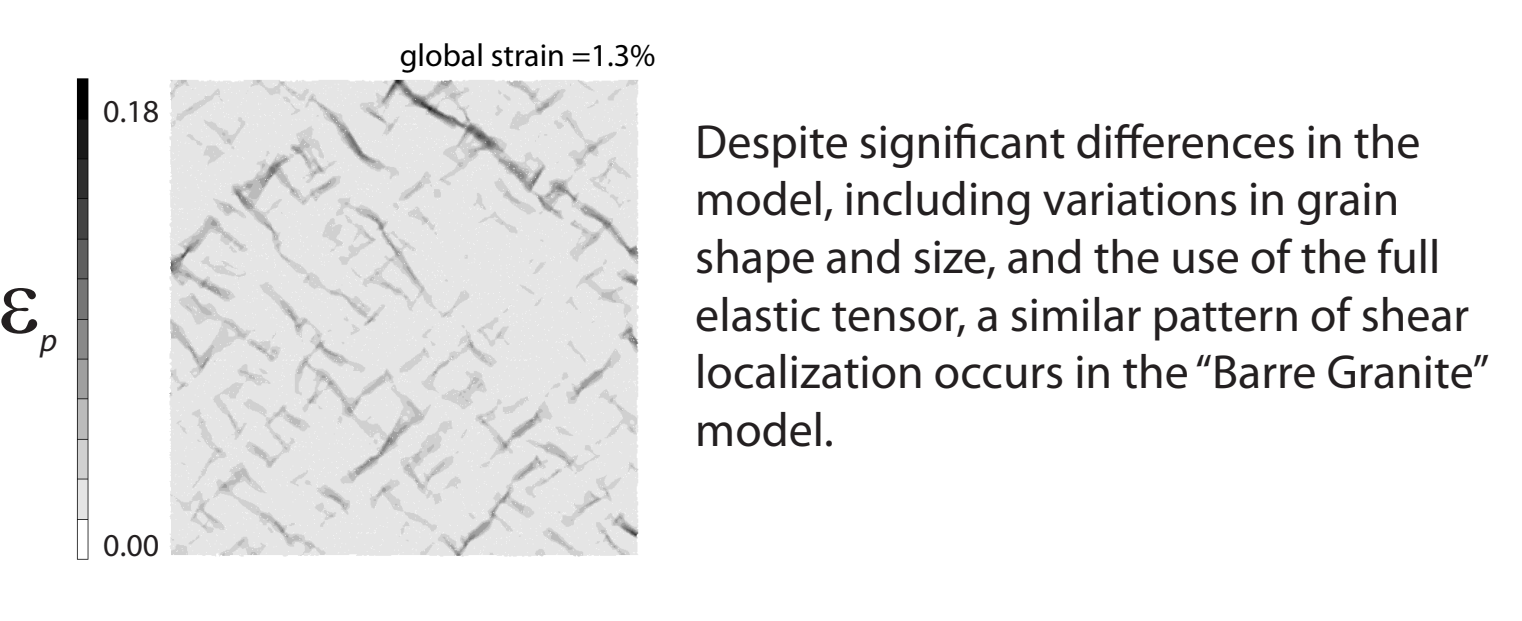
## Strain Patterns

All models experience shear localization. Shear localization is not a result of local weakening but results from nucleation of plastic deformation at places with either high stress or low yield

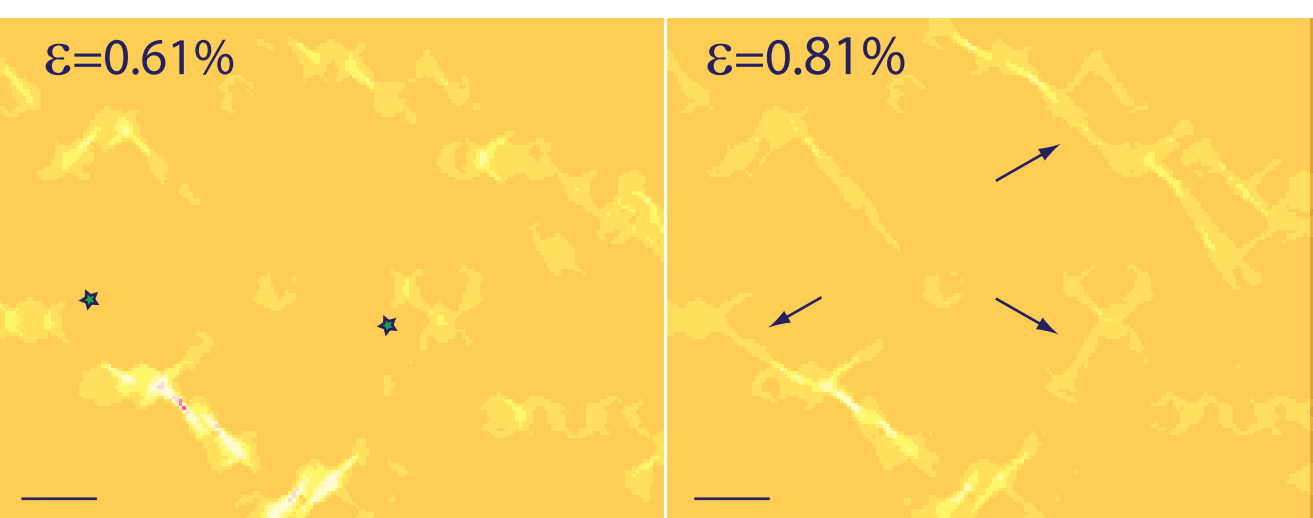
Equivalent von Mises strain



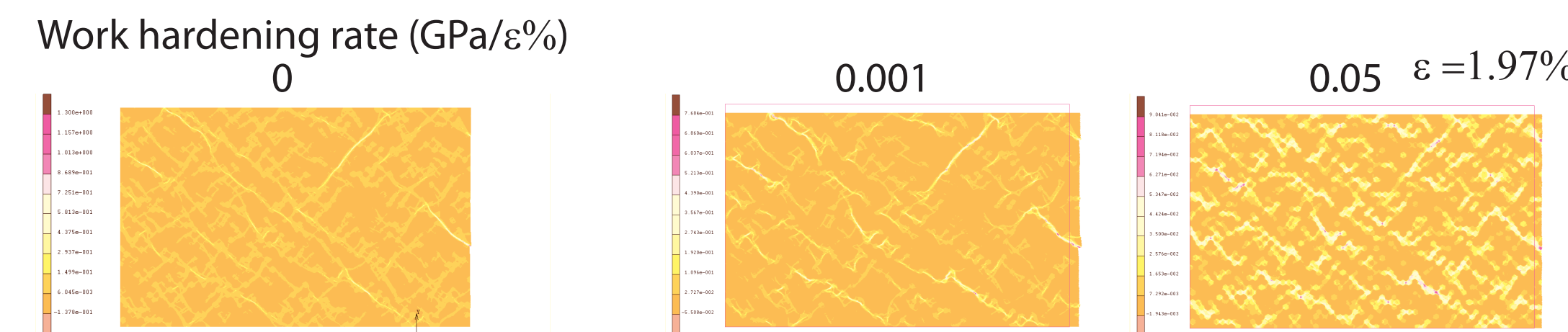
Patterns in equivalent plastic strain. Dark shades are higher values and light shades are lower values of equivalent plastic strain. Models as shown at a strain increment between 0.2-0.5% global strain beyond initial yielding. a) hex20, b) hex16, c) hex9 and d) hex18.



Despite significant differences in the model, including variations in grain shape and size, and the use of the full elastic tensor, a similar pattern of shear localization occurs in the "Barre Granite" model.

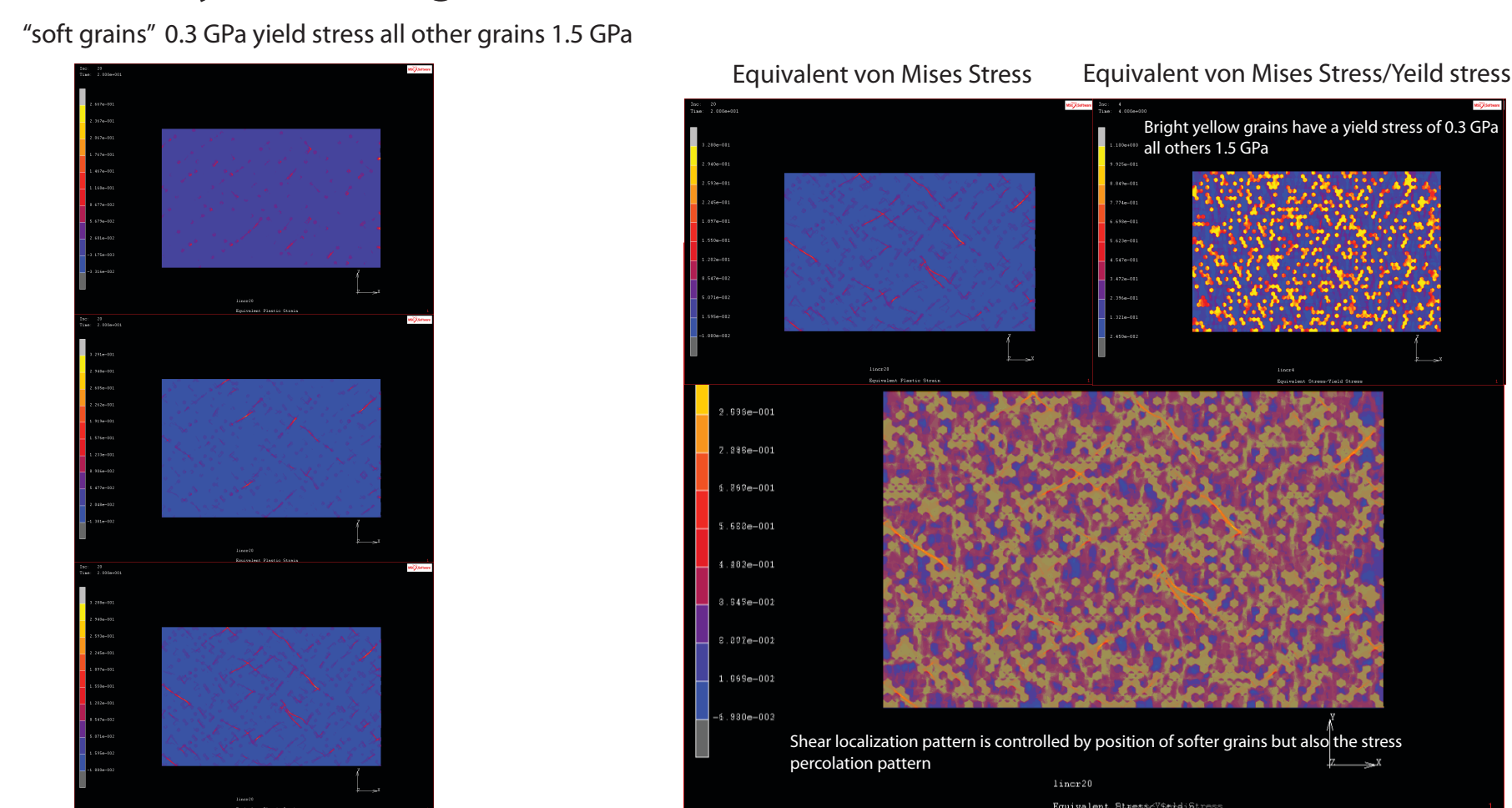


Equivalent plastic strain for a portion of model hex9. Scale bar is equivalent to the width of two grains. At low strain most grains exhibit no plastic strain. Grains where yielding initiates (lighter yellows) develop "fins" that extend outwards at 45 degrees (stars). Fins connect between grains when yielding grains are sufficiently close (arrows).



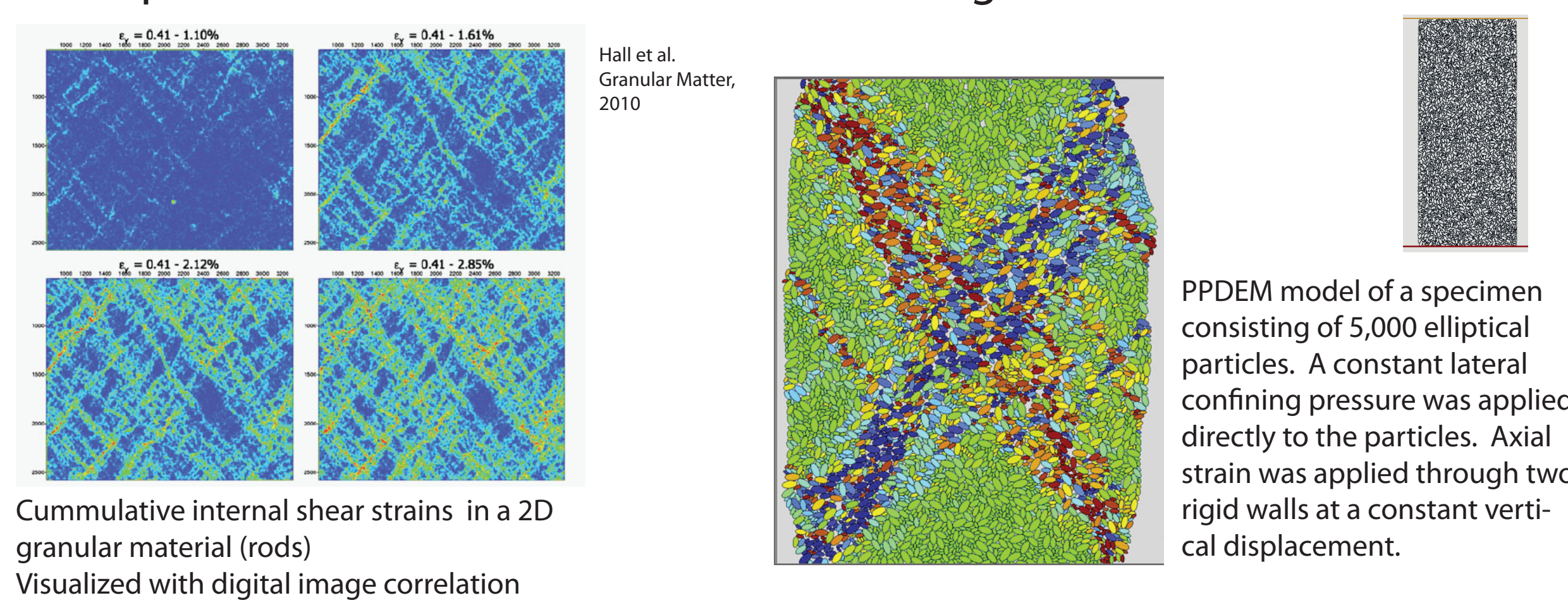
Equivalent plastic strain for model hex9. Including work hardening in the model moderates, but does not eliminate shear localization.

## Effect of yield strength variations of shear localization

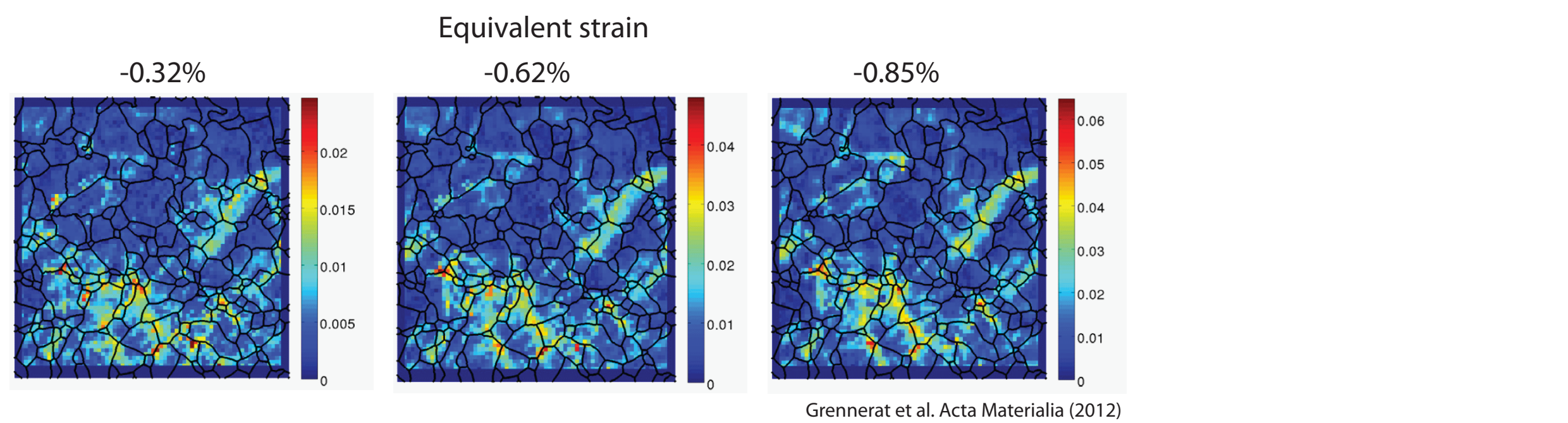


## Connections

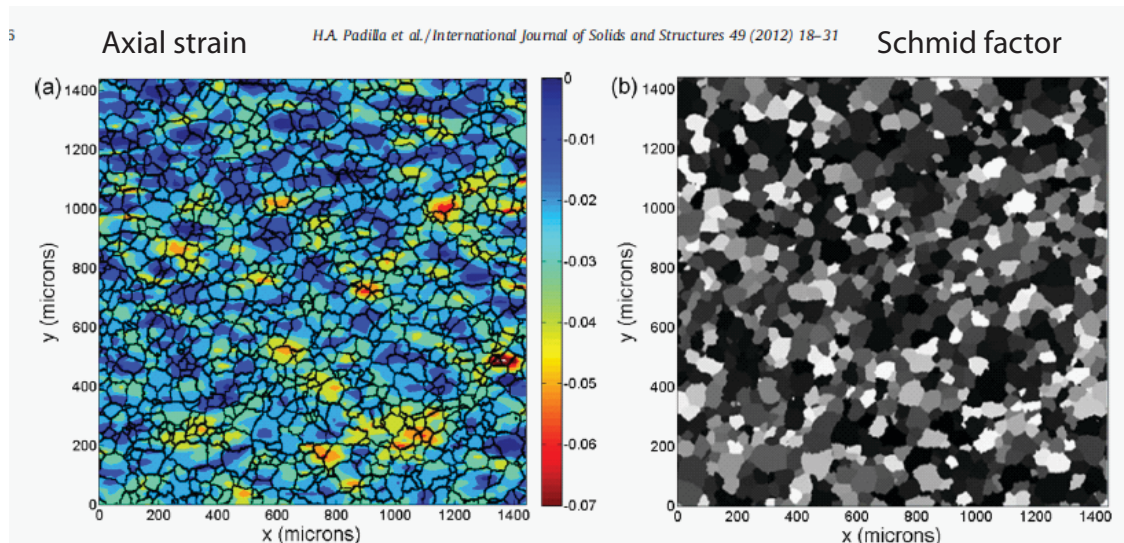
Stress percolation causes shear localization in granular materials



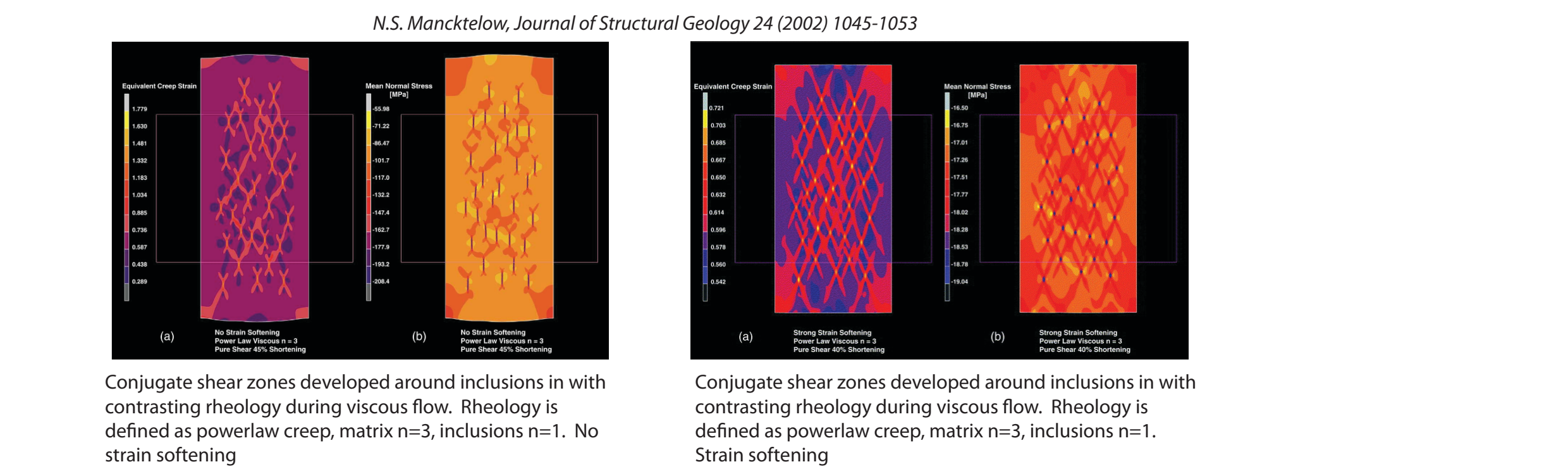
Shear localization is observed during ductile deformation in fully dense polycrystalline materials



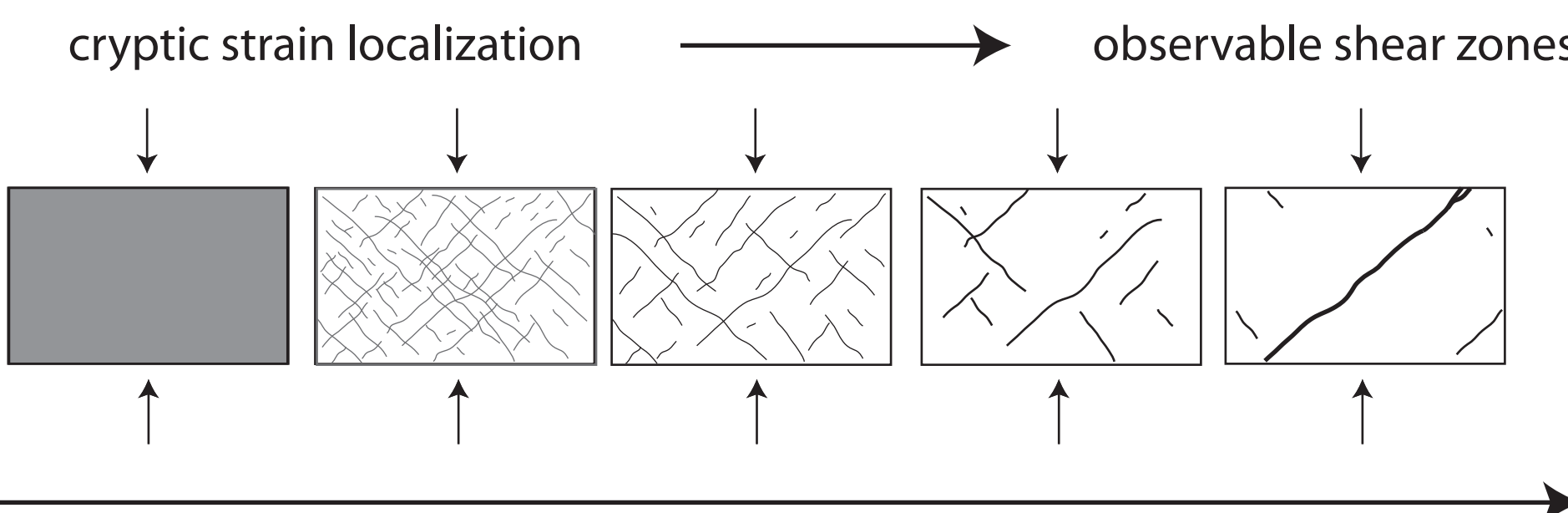
Plain strain deformation of columnar ice visualized with digital image correlation. Local strain can be 10 times the macroscopic strain. The shear band width is smaller than the grain size and grain strain does not correlate with the Schmid factor. Local tensile stresses are also observed.



Shear localization result from heterogeneity in ductile rheology in models of viscous deformation



## Implications of Stress Percolation



## Component Heterogeneity

All polycrystalline rocks will experience some level of shear localization during ductile deformation

- monomineralic rocks will
  - tend to produce cryptic localization
  - level of strain is modulated on the grain scale
  - deformation appears distributed on the macroscopic scale
- polyphase rocks will
  - be more likely to produce large scale shear zones

Pre-existing weakness is not required to produce ductile shear zones

For experimentalists - minimum sample size (representative volume element) for experiments:

- may be larger than anticipated
- will not be the same for all materials

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Grennerat F. et al., Experimental characterization of the intragranular strain field in columnar ice during transient creep. Acta Mater. 60, 3655-3666 (2012)  
Hall S.A., MuirWood D., Ibraim E. and Viggiani G. Localised deformation patterning in 2D granular materials revealed by digital image correlation. Granular Matter (2010) 12:1-14, DOI 10.1007/s10035-009-0155-1  
Padilla H.A., Lambros J., Beaudoin A.J., Robertson I.M., Relating inhomogeneous deformation to local texture in zirconium through grain-scale digital image correlation strain mapping experiments. Int. J. Solids and Struct. 49, 18-31 (2012)